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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT TRANSMITTAL

In re Application of: LeKuch et al.  
Serial No.: 09/854,978  
Filed: May 14, 2001  
For: EXTENDING THE GUI DESKTOP/PAPER METAPHOR TO  
INCORPORATE PHYSICAL PAPER INPUT  
Examiner: Not yet assigned  
Group Art Unit: 2173  
Attorney Docket: YOR920000702US3

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Enclosed herewith are the following:

- 1) Copy of Notice to File Corrected Application Papers;
- 2) Response to the Notice to File Corrected Application Papers with the Correction of Informalities with Formal Drawings (5 sheets) for Figs. 1-5;
- 3) Request for Corrected Filing Receipt with one enclosure;
- 4) Transmittal Letter in Duplicate

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 50-0510 in the name of IBM Corporation. A duplicate copy of this sheet is attached.

Respectfully submitted,

Date: July 26, 2001

Paul D. Greeley  
Reg. No. 31,019  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10th Floor  
Stamford, Connecticut 06901-2682  
(203) 327-4500

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON JULY 26, 2001.

Victoria E. Roeser  
NAME

  
SIGNATURE

07/26/01  
DATE



909.0037454  
Page 1 of 3

Receipt

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/854,978	05/14/2001	2173	710	YOR920000702USX	5	19	3

CONFIRMATION NO. 9086

FILING RECEIPT



\*OC00000006283312\*

Harry F. Smith, Esq.  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
10th Floor  
One Landmark Square  
Stamford, CT 06901-2682

Date Mailed: 07/11/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Scott LeKuch, New York, NY;  
Ken Inoue, Elmsford, NY;  
Dan Peter Dumarot, Cornwall, NY;  
Mary R. Seminara, Ossining, NY;  
Sreenivasulu Kesavarapu, Terrytown, NY;  
John Peter Karidis, Ossining, NY;

Assignment For Published Patent Application

International Business Machines Corporation, Armonk, NY;

Domestic Priority data as claimed by applicant:

THIS APPLN CLAIMS BENEFIT OF 60/238,836 10/06/2000  
AND CLAIMS BENEFIT OF 60/269,298 02/16/2001

Foreign Applications:

If Required, Foreign Filing License Granted: 07/11/2001

Projected Publication Date: To Be Determined: - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

RECEIVED

JUL 16 2001

OHLANDT, GREELEY, RUGGIERO & PERLE

**Title**

Extending the GUI desktop/paper metaphor to incorporate physical paper input.

**Preliminary Class**

345

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**Data entry by :** HAILE, TSEHAI

**Team :** OIPE

**Date:** 07/11/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: LeKuch et al.  
Serial No.: 09/854,978  
Filed: May 14, 2001  
For: EXTENDING THE GUI DESKTOP/PAPER METAPHOR TO  
INCORPORATE PHYSICAL PAPER INPUT  
Examiner: Not yet assigned  
Group Art Unit: 2173  
Attorney Docket: YOR920000702US3

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS  
CORRECTION OF INFORMALITIES**

Sir:

In response to the Notice to File Corrected Application Papers dated July 11, 2001, the time to respond which expires on September 11, 2001, enclosed herewith are the formal drawings (five sheets) for Figures 1 through 5 for the above-identified application.

Respectfully submitted,

Date: July 26, 2001

Paul D. Greeley, Esq.  
Reg. No. 31,019  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10th Floor  
Stamford, Connecticut 06901-2682  
(203) 327-4500



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: LeKuch et al.

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METAPHOR TO INCORPORATE PHYSICAL PAPER  
INPUT

Examiner: Not yet assigned

Group Art Unit: 2173

Attorney Docket: YOR920000702US3

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231  
Attn: OIPE

Dear Sir/Madam:

We are requesting a corrected filing receipt due to the change in the Attorney Docket Number for the above-identified application. Kindly change YOR92000702US1 to YOR920000702US3. A copy of the original filing receipt is enclosed.

Respectfully submitted,

Date: July 26, 2001

Paul D. Greeley, Esq.  
Reg. No. 31,019  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/854,978	05/14/2001	Scott LeKuch	YOR920000702US1

CONFIRMATION NO. 9086

## FORMALITIES LETTER



\*OC000000006283313\*

Harry F. Smith, Esq.  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
10th Floor  
One Landmark Square  
Stamford, CT 06901-2682

Date Mailed: 07/11/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE